

INFORMATION FOR CLIENTS

Set out below is the information required by the *Rules of Conduct and Client Care for Lawyers* of the New Zealand Law Society ("Law Society").

- 1 **Fees:** The basis on which fees will be charged and paid is set out in our letter of engagement. I may deduct from any funds held on your behalf in my instructing solicitor's trust account any fees, expenses or disbursements for which I have provided an invoice.
- 2 **Professional Indemnity Insurance:** I do not have professional indemnity insurance.
- 3 **Lawyers Fidelity Fund:** The Law Society maintains the Lawyers Fidelity Fund for the purposes of providing clients of lawyers with protection against pecuniary loss arising from theft by lawyers. The maximum amount payable by the Fidelity Fund by way of compensation to an individual claimant is limited to \$100,000, except in certain circumstances specified in the *Lawyers & Conveyancers Act 2006* the Fidelity Fund does not cover a client for any loss relating to money that a lawyer is instructed to invest on behalf of the client.
- 4 **Complaints:** I maintain a procedure for handling any complaints by clients, designed to ensure that a complaint is dealt with promptly and fairly. If you have a complaint about my services or charges, you may refer your complaint to the person in my firm who has overall responsibility for your work.

If you do not wish to refer your complaint to that person, or you are not satisfied with that person's response to your complaint, you may refer your complaint to John Bergseng. He may be contacted as follows:

- by letter; or
- by email at john@bergseng.co.nz; or
- by telephoning him at 09 376 6707.

The New Zealand Law Society also maintains a complaints service and you are able to make a complaint to that service. To do so you should contact the New Zealand Law Society directly:

- **New Zealand Law Society, PO Box 5041 Lambton Quay, Wellington 6145; or**
- Fax: 04 463 2989; or
- Phone: 0800 22 30 30.

- 5 **Persons Responsible for the Work:** The names and status of the person who will have the general carriage of or overall responsibility for the services I provide for you are set out in my letter of engagement:

6 **Client Care and Service:**

The New Zealand Law Society client care and service information is set out below. Whatever legal services your lawyer is providing, he or she must:

- *Act competently, in a timely way, and in accordance with instructions received and arrangements made.*
- *Protect and promote your interests and act for you free from compromising influences or loyalties.*
- *Discuss with you your objectives and how they should best be achieved.*
- *Provide you with information about the work to be done, who will do it and the way the services will be provided.*
- *Charge you a fee that is fair and reasonable and let you know how and when you will be billed.*
- *Give you clear information and advice.*
- *Protect your privacy and ensure appropriate confidentiality.*
- *Treat you fairly, respectfully and without discrimination.*
- *Keep you informed about the work being done and advise you when it is completed.*
- *Let you know how to make a complaint and deal with any complaint promptly and fairly.*

The obligations lawyers owe to clients are described in the *Rules of Conduct and Client Care for Lawyers*. Those obligations are subject to other overriding duties, including duties to the courts and to the justice system.

If you have any questions, please visit www.lawyers.org.nz or call 09 303 5270.

7 **Limitations on extent of our Obligations or Liability**

Any limitations on the extent of my obligations to you or any limitation or exclusion of liability are set out in my letter of engagement.